

RE-2 Employee Post Travel Disclosure of Travel Expenses

Date/Time Stamp

Post Travel Filing Instructions: Complete this form within **30 days** of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building. **This form is a public disclosure. The form and all attachments will be made publicly available.**

Certification: In compliance with the Regulations Governing Privately Sponsored Travel, Senate Rule 35, and the Honest Leadership and Open Government Act of 2007, I certify that I accepted the following gift of privately sponsored travel:

Private Sponsor(s):

Vanderbilt Law School

Travel Dates:

June 4-5, 2023

Name of accompanying family member (if any):**Relationship to Traveler:****Total Expenses**

Transportation Expenses	Lodging Expenses	Meals Expenses	Other Expenses (Amount & Description)
\$408.21	\$229	\$164	

I also certify that attached to this form are all required documents for post travel disclosure, including:

- The final **Employee Pre-Travel Authorization** (Form RE-1)
- The final **Private Sponsor Travel Certification Form** with all attachments
- The final invitation
- The final approved itinerary

Finally, I certify that all trip information reflected in the attachments above accurately reflects the travel that I accepted. If there were any changes to the trip after I received approval from the Committee, the changes are described in ATTACHMENT 1.

7/5/23

Date

Tanya Otsuka

Printed Name of Traveler



Signature of Traveler

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER

I have made a determination that the expenses set out above in connections with travel described in the Employee Pre-Travel Authorization form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

7/5/23

Date



Signature of Supervising Senator/Officer

ATTACHMENT 1 – CHANGES FROM APPROVED PRE-TRAVEL

Note: Material changes to a trip that occur after the Committee has issued an approval letter may invalidate the Committee's approval. Please contact the Committee with any questions regarding changes to an approved trip.

Were there any changes to the pre-approved travel expenses? (Transportation, Meals, Lodging, Other)?

☒ Yes ☐ No

Expense Change	Revised Amount	Explanation
Transportation (cabs)	112.41	Fares more expensive than estimate b/c of traffic

Were there any changes to the pre-approved itinerary?

☐ Yes ☒ No

Explanation:

Were there any additional changes to the pre-approved trip?

☐ Yes ☒ No

Explanation:

RE-1 Employee Pre-Travel Authorization

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the **Select Committee on Ethics in SH-220**. Incomplete and late travel submissions will not be considered or approved.

Date/Time Stamp

Name of Traveler:

Tanya Otsuka

Employing Office/Committee:

Senate Banking, Housing, Urban Affairs

Private Sponsor(s):

Vanderbilt University

Destination(s):

Nashville, TN

Travel Dates:

June 4, 2023-June 5, 2023

NOTE: If you plan to extend the trip for any reason you must notify the Committee.

Explain how this trip is specifically connected to the traveler's official or representational duties.

Traveler handles financial regulation and monetary policy for the Banking, Housing, and Urban Affairs Committee. She will be attending the Eighth Annual Conference on Central Banking and Financial Regulation at Vanderbilt Law School - these topics are directly related to the issues she focuses on for the Committee and will enhance her awareness of the latest scholarship and issues.

Do you have an accompanying family member or spouse on this trip? **Name and Relationship to Traveler:**

☐

(signify "yes" by checking box)

I certify that the information contained in this form is true, complete and correct to the best of my knowledge.

5/4/23

Date



Signature of Employee

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER

(President of the Senate, Secretary of the Senate, Sergeant at Arms,
Secretary for the Majority, Secretary for the Minority, and Chaplain)

Sen. Sherrod Brown

(Print Senator's/Officer's Name)

hereby authorize

Tanya Otsuka

(Print Traveler's Name)

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate.

☐

(signify "yes" by checking box)

5-5-23

Date



Signature of Supervising Senator/Officer

Trip Name: Eighth Annual Conference on Central Banking and Finance Regulation

Organization Information

Organization Name:

Vanderbilt Law School

Is your organization classified as a §501(c)(3)?

☒ Yes

☐ No

If Yes, §501(c)(3) Organization Type:

☐

Private Foundation

☒

Public Charity

Address:

131 21st Ave S

City, State, Zip:

Nashville, TN 37203

Phone Number:

615-322-3966

Organization URL:

History of Congressional Travel

Describe your organization's history of sponsoring congressional travel.

Vanderbilt Law School only sponsors congressional travel for invited speakers or conference attendees for scholarly research and collaboration purposes.

Educational Activities

Describe the educational activities performed by your organization other than sponsoring congressional travel.

Vanderbilt Law School provides a graduate legal education for students as well as sponsors the scholarly research of our faculty.

Lobbyist and Foreign Agent Registration Information

Lobbyist Registration Status (Select one):

☒

I certify that the sponsor is not a federally registered lobbyist and does not retain or employ a federally registered lobbyist.

☐

I certify that the sponsor is not a federally registered lobbyist but does retain or employ one or more federally registered lobbyists.

Foreign Agent Registration Status (Select one):

☒

I certify that the sponsor is not an agent of a foreign principal and does not retain or employ an agent of a foreign principal.

☐

I certify that the sponsor is not an agent of a foreign principal but does retain or employ one or more agents of a foreign principal.

Foreign Government Involvement

Foreign Agent Registration Status (Must select all):

☒

I certify that the sponsor is not a foreign government.

☒

I certify that the sponsor is not an entity that is owned or operated by a foreign government.

☒

I certify that the sponsor does not receive funding from a foreign government.

Purpose and Details

Provide a brief description of the trip.

The trip will include travel to and from the Eighth Annual Central Banking and Finance Regulation Conference. The conference brings scholars and experts in the fields of banking regulation and the treasury market together to discuss current issues.

Explain how the purpose of the trip relates to your organization's mission.

We have multiple faculty who are experts in the banking regulation and the treasury market fields. This conference helps bring other experts in to discuss current issues and further the research and publications of our faculty and their colleagues.

Is your organization the only sponsor for this trip?

☒ Yes ☐ No

If No, describe your organization's role in planning the trip.

If there are multiple sponsors, each sponsor must submit Organization Information (Page 1 of the Private Sponsor Travel Certification Form) and a Signature Page Form.

Grantmaking Organizations (Optional)

If you have a Grantmaking Organization, you must attach a Grantmaking Organization Certification Form.

- 1.
- 2.
- 3.

With or Without Regard to Congressional Participation (Select one):

- ☒ The trip is arranged or organized without regard to congressional participation.
- ☐ The trip is arranged or organized with regard to congressional participation.

Lobbyist/Foreign Agent Involvement in Planning, Organizing, Requesting or Arranging

- ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal, other than de minimis involvement.

Lobbyist/Foreign Agent Financing (Must select all):

- ☒ The trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
- ☒ No funds or in-kind contributions were earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.

Lobbyist/Foreign Agent Accompaniment

Complete if all sponsors are §501(c)(3) organizations (Select one):

- ☒ The trip is limited to three days (for trips inside the continental United States) or seven days (for trips outside the continental United States), and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip
- ☐ The trip is limited to a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip
- ☐ The trip is limited to a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip

Complete if any of the sponsors is not a §501(c)(3) organizations (Select one):

- ☐ The trip is limited to three days (for trips inside the continental United States) or seven days (for trips outside the continental United States), and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip
- ☐ The trip is limited to a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip
- ☐ The trip is limited to a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip

Certification of No Recreational Activity and No Alcohol (Must select all):

- ☒ Travel expenses paid for will not include expenditures for recreational activities.
- ☒ Travel expenses paid for will not include expenditures for alcohol, except as permitted by the Regulations Governing Privately Sponsored Travel.

Will members and staff from the House of Representatives receive invitations?

- ☐ Yes ☒ No

Travel Details (Submit additional pages as needed)

Trip Start Date/Time:



Trip End Date/Time:

Sunday June 4, 2023/ 6:00

Monday, June 5, 2023/ 5:00

Will the traveler be accompanied by a family member for whom the sponsor will pay travel expenses?

☐ Yes

☒ No

Transportation (Member/Officer/Employee: \$ 355.80 Accompanying Family Member: \$)

Transportation Type	Class	Amount
Flight	Economy	295.80 (roundtrip)
Cabs		\$30x2=\$60
Details (optional)		

Lodging (Member/Officer/Employee: \$ \$229 Accompanying Family Member: \$)

Check-In	Check-Out	Facility	City	State	Country
6/4/23	6/5/23	Aertson Hotel	Nashville	TN	US
Nights	Cost/Night	Cost Exceed Per Diem (Yes/No)	If Yes, please explain why expenses over the per diem rate are reasonable and necessary.		
1	229.00	N			
Check-In	Check-Out	Facility	City	State	Country
Nights	Cost/Night	Cost Exceed Per Diem (Yes/No)	If Yes, please explain why expenses over the per diem rate are reasonable and necessary.		
Check-In	Check-Out	Facility	City	State	Country
Nights	Cost/Night	Cost Exceed Per Diem (Yes/No)	If Yes, please explain why expenses over the per diem rate are reasonable and necessary.		

Meals (Member/Officer/Employee: \$ 164 Accompanying Family Member: \$)

Date	Breakfast	Lunch	Dinner	Incidentals	Total	City	State	Country	Cost Exceeds Per Diem (Y/N)
6/4/23			125.00		125.00	Nashville	TN		Y
6/5/23	22.00	17.00			39.00	Nashville	TN		Y

If costs exceed the federal per diem, please explain why expenses over the per diem rate are reasonable and necessary.

Vanderbilt is located in downtown Nashville. Because of that, the prices of dinner in this area are quite a bit higher. The restaurant is only a short distance from the Law School and the conference hotel. We wanted somewhere that our guests could get to fairly quickly since most of them will be arriving very shortly before dinner and will want to drop their luggage off before +

Reasonable Miscellaneous Expenses

(Member/Officer/Employee: \$ Accompanying Family Member: \$)

Expense Type	Amount	Notes

Additional Details (optional)

PRIVATELY SPONSORED TRAVEL

SPONSOR SIGNATURE PAGE

I hereby certify that the information submitted in connection with the trip listed below is true, complete, and correct to the best of my knowledge and belief.

Trip Name: Eighth Annual Central Banking and Financial Regulation Co
Travel Date(s): 6/4/23-6/5/23
Travel Destination(s): Vanderbilt Law School, Nashville, TN
Sponsor: Vanderbilt Law School, Law & Business Program

Rachel Harrell

(printed name of sponsor representative)

Manager of Academic Program

(title)

Rachel Harrell

(signature of sponsor representative)

Digitally signed by Rachel Harrell
Date: 2023.05.05 13:41:43 -05'00'

5/5/23

(date)

From: [Ricks, Morgan](#)
To: [Otsuka, Tanya \(Banking\)](#)
Cc: [Yadav, Yesha](#)
Subject: Invitation to the Eighth Annual Conference on Central Banking and Financial Regulation
Date: Tuesday, April 25, 2023 2:38:22 PM

Dear Tanya,

We are now planning our Eighth Annual Conference on Central Banking and Financial Regulation at Vanderbilt Law School, to be held on June 5 in Nashville.

Thematically, we plan to feature papers and panels that bear on recent sources of financial dysfunction -- in particular, the SVB-related banking turmoil, as well as US Treasury and repo market functioning.

We would love for you to attend, either as part of a panel discussion or else without any particular assigned role. We'll select papers and panels based on overall thematic "fit."

Vanderbilt will cover (economy) travel as well as accommodations, and there will be an optional conference dinner on June 4.

Please let us know if you would like to participate.

Thanks, and we look forward to hearing from you!

Morgan & Yesha

Morgan Ricks
Herman O. Loewenstein Chair in Law
Vanderbilt Law School

EIGHTH ANNUAL CONFERENCE ON CENTRAL BANKING AND FINANCIAL REGULATION

Vanderbilt Law School
Law & Business Program
June 5, 2023

SUNDAY, JUNE 4

6:00pm Dinner at the Twelve Thirty Club (550 Broadway), followed (for those who are interested!) by Bluegrass Jam at the Station Inn

MONDAY, JUNE 5

8:00am-8:30am Breakfast

8:45am-10:00am **Session I**

Lev Menand & Morgan Ricks, *Banks as Public Utilities: A Blueprint for Financial Reform*

Natasha Sarin, *Fixing Financial Regulation*

10:15am-11:30am **Session II**

Yesha Yadav, *The Failed Promise of Treasuries in Financial Regulation*

Jeffrey Zhang & Jeremy Kress, *The Macroprudential Myth*

11:30am-12noon **Lunch**

12noon-1:00pm **Lunchtime Keynote: Zoltan Pozsar on the Global Dollar System**

Discussion moderated by David Beckworth

1:15pm-2:30pm **Session III**

David Zaring, *Licensing and its Discontents*

Kate Judge & Dan Awrey, *Financial Regulation and Administrative Law: The Case for Commissions*

2:45pm-4:00pm **Session IV**

Panel Discussion on the Recent (and Ongoing?) Turmoil, featuring Graham Steele (Treasury), Aaron Klein (Brookings), Tanya Otsuka (Senate Banking).

Moderator: Kate Duguid (FT)

4:00pm **Conference Concludes**

6:00pm Informal dinner at Chauhan Ale and Masala House (123 12th Ave N)